



ANNUAL REPORT
Of
VIGILANCE DEPARTMENT
2020-2021
(From 01.04.2020 to 31.03.2021)



**CITY & INDUSTRIAL DEVELOPMENT CORPORATION OF
MAHARASHTRA LTD.**

INDEX

1. INTRODUCTION.....	3
1.1 About CIDCO:	3
1.2 About Vigilance Department in CIDCO:	3
1.3 Functions of Vigilance Department:	4
a. Preventive Vigilance (Proactive basis).....	4
b. Investigative Vigilance (Reactive basis).....	4
2. COMPLAINTS.....	5
2.1 Complaints received from public in Vigilance Department.....	5
2.2 Year-wise comparison of Vigilance complaints received & disposed in percentage in percentage	6
2.3 Year-wise comparison of Vigilance complaints received & disposed in percentage in bar diagram.....	6
2.4 Details of complaints received to Vigilance Department in current year 2018-19.....	7
3. RECEIPTS AND DISPOSALS OF VIGILANCE COMPLAINTS.....	8
3.1 Review of complaints which were in process in last year 2017-18.....	8
3.2 Department wise complaints received in Vigilance Department.....	8
4. ACTION TAKEN.....	11
4.1 Details of enquiry on Vigilance complaints received in 2019-20 by all sources & brought forward from 2014.....	11
4.2 Actions recommended by Vigilance Department after an enquiry on complaints	12
5. VIGILANCE CLEARANCE & RTI CASES.....	13
5.1 Vigilance clearance cases decided in 2019-20.....	13
5.2 Right to information Act 2005 related cases decided in year 2019-20.....	14
6. DEPARTMENTAL ENQUIRY (From DE Officer).....	14
7. GRIEVANCE REDRESSAL SYSTEM.....	15
8. EMERGENCY OPERATION CENTER.....	15
9. VISITORS MANAGEMENT SYSTEM	16
10. PREVENTIVE VIGILANCE ACTIONS.....	18
10.1 Surprise visits & checks to various departments of CIDCO.....	18
10.2 Vigilance circulars, advisories, office orders were issued by Vigilance Department.....	18
10.3 Integrity Pact.....	18
11. VIGILANCE AWARENESS WEEK.....	19
11.1 Vigilance awareness week 2020 – Activities.....	19
11.2 Glim pse of Vigilance awareness Week:.....	20

1. INTRODUCTION

1.1 About CIDCO:

City and Industrial Development Corporation (CIDCO) of Maharashtra Ltd., is a company wholly owned by the Govt. of Maharashtra and started functioning on 17th March 1970, with the specific aim of decongesting Mumbai city and at the same time creating a new planned, self-sufficient and sustainable city on the mainland across Thane creek adjoining Mumbai. CIDCO is a public sector undertaking incorporated as a company which is notified by the Maharashtra State Government as the New Town Development Authority (NTDA). The Government later widened the scope of CIDCO's activities delegating the responsibility as the Special Development Authority (SPA). The objectives of CIDCO is to plan and develop urban settlements self-sufficient with physical and social infrastructure that could meet residential, commercial, socio-cultural and industrial needs of the population in the years to come with a vision of creating safe, environment-friendly and sustainable city with atmosphere conducive for fuller and richer life that would be devoid of socio-economic disparities.

1.2 About Vigilance Department in CIDCO:

Vigilance Department was formed in CIDCO vide BR No.10817 dtd.17/05/2013, headed by Chief Vigilance Officer (CVO) who is not below in the rank of Deputy Inspector General of Police, deputed by Government of Maharashtra from Police department. The main objective of this department is to prevent corruption and enhance transparency in official procedures of CIDCO. The Vigilance Department not only takes appropriate steps on the received complaints but also recommend process change to various departments of CIDCO for improving transparency and efficient service delivery.

1.3 Functions of Vigilance Department:

The functions of the Vigilance Department can be broadly divided into two categories:-

A) Preventive Vigilance (Proactive measures); B) Investigative Vigilance (Reactive measures)

A) Preventive Vigilance (Proactive basis):-

- Surveillance on corruption prone areas such as allotment section, lands branch, FSI, TDR permission, payment releasing section; etc.
- To conduct surprise visits in sensitive & corruption prone areas to check integrity of employees.
- Maintain Surveillance on employees of doubtful integrity, monitoring movements of illegal agents in CIDCO premises, colonies.
- Recommend policies for periodical rotations of staff on sensitive posts.
- To examine the departmental process manuals of various departments of CIDCO.
- Process for vigilance clearance of officers/employees.
- To conduct in-house training programs for officers/employees.
- Liaison with Police Department.
- Supervision of CIDCO Bhavan and other CIDCO offices situated in different places from security angle.
- Access control in CIDCO office premises including on holidays..
- To motivate the employees restraining from malpractices

B) Investigative Vigilance (Reactive basis):-

- Collect intelligence about any misconduct/malpractices in CIDCO and investigate the complaints of malpractices against officers and employees of CIDCO.
- Investigation of complaints having vigilance angle against all categories of employees, agencies, allotments, illegal agents operating for allotment of transit tenements and CIDCO flats, shops; etc.
- Monitor progress of action recommended by Vigilance Department in various cases.
- Ensure speedy processing of vigilance cases at all stages.
- Assistance to the Police in the investigation of cases related to CIDCO.
- To watch cases of disciplinary actions pending with respective competent authorities.

2. COMPLAINTS

2.1 Complaints received from public in Vigilance Department

Following table represent the complaints received from the public from April-2020 to March-2021. The segregation shows that 28 % complaints are Vigilance type & 72% non-vigilance type. Details are as shown in table given below.

2.1 Complaints received in Vigilance Department by Tapal / Mail/ Web-link on Vigilance Portal

Month	Vigilance Complaints	Non-Vigilance complaints	Total
April 2020	3	14	17
May 2020	0	0	0
June 2020	5	6	11
July 2020	1	2	3
August 2020	2	5	7
September 2020	2	13	15
October 2020	15	13	28
November 2020	5	16	21
December 2020	14	25	39
January 2021	7	28	35
February 2021	3	22	25
March 2021	3	9	12
Total	60	153	213
Percentage	28%	72%	100%

Table 2.1: Month wise complaints received from public in Vigilance Department by *Tapal* / Mail / Web-link on Vigilance Portal

2.2 Year wise comparison of vigilance complaints received and disposed in Percentage:

Sr No.	Year	Vigilance Complaints receipts	Vigilance Complaints disposed in same year	Percentage of disposal
1	2014-15	140	93	66.42 %
2	2015-16	104	81	77.88 %
3	2016-17	119	59	49.57 %
4	2017-18	107	79	73.83 %
5	2018-19	135	73	54.07 %
6	2019-20	88	40	45.45%
7	2020-21	152	83	54.61%

Table 2.2 : Year wise comparison of vigilance complaints received and disposed in percentage.

2.3 Year wise comparison of vigilance complaints received and disposed in bar diagram:

No. of vigilance complaints received and disposed per year during Year 2014-15 to 2020-21 are given below

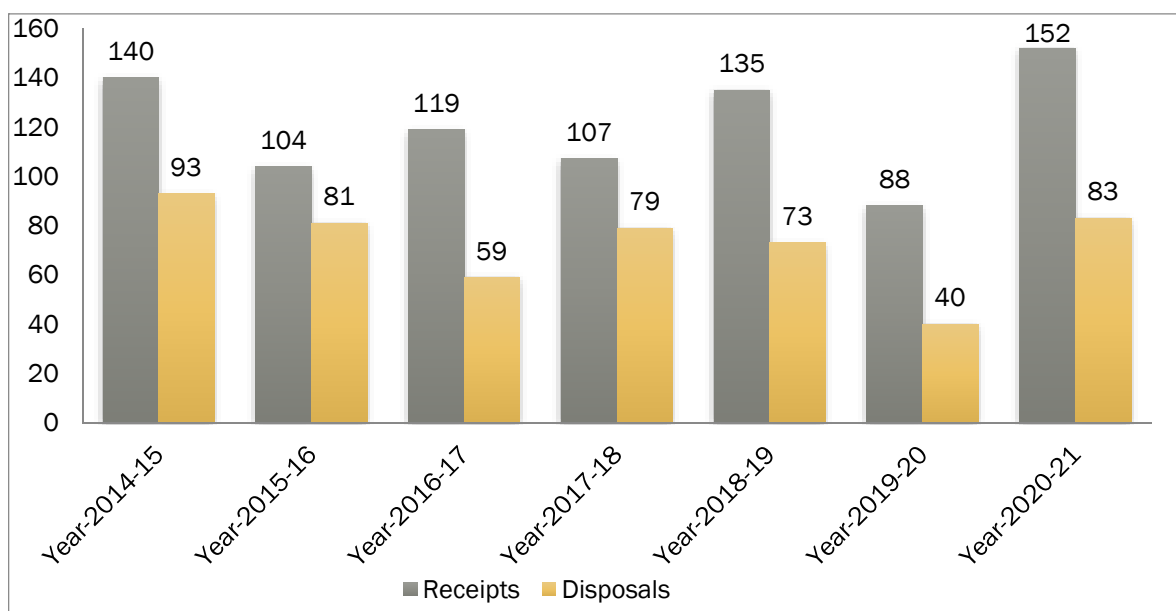


Fig 2.1: Comparative Bar Graph about No. of vigilance complaints received and disposed per year during Year 2014-15 to 2020-21

2.4 Details of vigilance complaints received to Vigilance Department in current year 2020-21

Total 152 vigilance complaints by *Tapal* / Mail, Vigilance web-link / Portal were received in year 2020-21 in Vigilance Department. There were 69 vigilance complaints brought forward from years 2014 -21. Details of complaints received & disposed by Vigilance Department in 2020-21 are given below.

SOURCES OF COMPLAINTS received in 2020-21 (between 01.04.2020 & 31.03.2021)	NO. OF COMPLAINTS RECEIPT	NO. OF COMPLAINTS DISPOSED	NO. OF COMPLAINTS IN PROCESS (as on 31.03.2021)
Vigilance Department's inward, e-mail, Web-link of Vigilance Portal	152	83	69
Complaints brought forward from 2014-20 as on 01.04.2021	92	57	35
Total	244	140	104

Table 2.3 : Details of complaints received to Vigilance Department by all sources in 2020-21

3. RECEIPTS AND DISPOSALS OF VIGILANCE COMPLAINTS

3.1 Review of complaints which were in process last year

2019-20

In last Annual report for the year 2019-20, which was submitted to CIDCO Board. 84 enquiries were shown as in process. The current status of these complaints are given below:

Status	No. of complaints
Vigilance enquiry closed & Case closed	64
Vigilance enquiry completed & reports sent to Competent Authority for further action	6
Vigilance enquiry on-going	63
Total	133

Table 3.1: Current statuses of the cases which were in process in last Annual Report 2019-20

3.2 Department-wise vigilance complaints received in Vigilance Department in year 2020-21

Following table shows the complaints received in vigilance department related to various departments within CIDCO for the year 2020-21

Sr. NO	DEPARTMENT / HEAD OF DEPARTMENT	TOTAL	PERCENTAGE
1	CCUC (NM)	6	7.59%
2	CCUC (Naina)	1	1.27%
3	CL & SO (Thane & Raigad, 12.5%)	21	26.58%
4	CL & SO (Land Acquisition)/NMIA	1	1.27%
5	CL & SO (New Towns/SP)	0	0.00%
6	Joint Registrar	0	0.00%
7	ACL SO (Garajepoti) & Court cases	0	0.00%
8	Lands (Airport)	0	0.00%
9	Company Secretary	0	0.00%
10	Chief Engineer (NMIA)	0	0.00%
11	Chief Engineer (Special Project)	0	0.00%
12	ACE-III	1	1.27%
13	Chief Planner (Naina)	1	1.27%

14	CT & CP	0	0.00%
15	Chief Health Officer	0	0.00%
16	General Manager (Administrator)	0	0.00%
17	Manager (Rehabilitation)	0	0.00%
18	Sr. Law Officer	0	0.00%
19	Chief Fire Officer	0	0.00%
20	Public Relation Officer	0	0.00%
21	System Manager	0	0.00%
22	General Manager (Environment)	1	1.27%
23	Sr. Statistion	0	0.00%
24	Economist	0	0.00%
25	Additional Chief Planner (ARC)	0	0.00%
26	ACP (NMIA & 12.5%)	0	0.00%
27	ACP (BP/DP/NT)	0	0.00%
28	Additional Chief Planner (NM)	0	0.00%
29	Additional Chief Planner (SP)	0	0.00%
30	Additional Chief Architect	0	0.00%
31	Additional Chief Planner (A+R)	0	0.00%
32	Sr. Planner (BP)	1	1.27%
33	EE (PP& Q)	1	1.27%
34	Horticulture Officer	1	1.27%
35	Administrator (New Towns)	5	6.33%
36	Div. Officer (Kharghar & Kamothe)	0	0.00%
37	Div. Officer (Nerul & Belapur)	0	0.00%
38	Div. Officer (Ulwe & Dronagiri)	1	1.27%
39	Div. Officer (Panvel & Kalamboli)	2	2.53%
40	Div. Officer (Koparkhairane & Vashi)	2	2.53%
41	Div. Officer (Airoli)	2	2.53%
42	Security Officer	1	1.27%
43	Social Service Officer	3	3.80%
44	Manager (Marketing - 1)	0	0.00%
45	Manager (Marketing - 2)	2	2.53%
46	Chief Engineer (Navi Mumbai)	2	2.53%
47	Chief Accounts Officer	1	1.27%
48	Chief Architect & Planner	0	0.00%
49	Manger (Town Services - I)	15	18.99%

50	Manger (Town Services - II)	0	0.00%
51	Manger (Town Services - III)	4	5.06%
52	Manger (Personnel)	4	5.06%
53	JMD-I Office	0	0.00%
54	JMD-II Office	0	0.00%
55	JMD-III Office	0	0.00%
56	CVO Office	0	0.00%
57	MD Office	0	0.00%
58	Chairman Office	0	0.00%
59	GM(SEZ)	0	0.00%
TOTAL		79	100.00%

Table 3.2 : Department-wise complaints received in Vigilance Department in 2020-21

4. ACTION TAKEN

4.1 Current status of the vigilance cases which were in process in 2020-21 (ON 31.03.2021):

Status	No. of complaints					
	VIO-I	VIO-II	VIO-III	VIO-IV	VIO-V	TOTAL
Vigilance enquiry closed & Case closed	5	32	19	0	8	64
Vigilance enquiry completed & reports sent to Competent Authority for further action	2	1	3		0	6
Vigilance enquiry on-going	13	14	20	10	6	63
Total	20	47	42	10	14	133

Table 4.1: Status of vigilance enquiries as on 31.03.2020

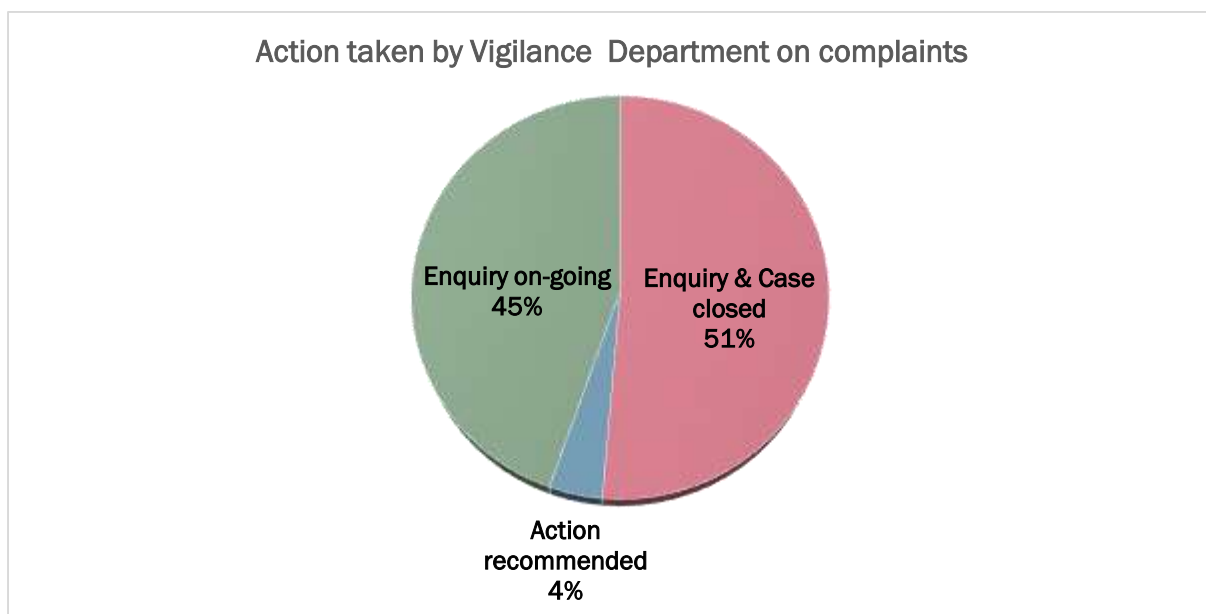


Fig 4.1: Details of Action taken on vigilance complaints received in 2020-21

4.2 Actions recommended by Vigilance department after an enquiry on complaints.

Following table represents the recommendation of various actions have been taken after completion of enquiry on vigilance complaints in 2020-21

SR. NO.	ACTION RECOMMENDED AFTER PRELIMINARY ENQUIRY ON VIGILANCE COMPLAINTS	Total		PERCENTAGE %
		NO. OF CASES	NO. OF EMPLOYEES INVOLVED IN THESE CASES	
1	Suspension	0	0	0.00%
2	Departmental Enquiry	3	3	60.00%
3	Show-Cause Notice	0	0	0.00%
4	Memorandum	0	0	0.00%
5	Displeasure note	0	0	0.00%
6	Warning (Oral)	0	0	0.00%
7	F.I.R.	1	0	0.00%
8	Transfer	2	2	40.00%
9	Vigilance circular/ advisory issued	0	0	0.00%
10	Total	6	5	100%

Table 4.2: Recommendations made after completion of Vigilance enquiry.

5. VIGILANCE CLEARANCE & RTI CASES

5.1 Vigilance clearance decided in 2020-2021

To process for vigilance clearance of officers/employees for various purposes is a part of Preventive Vigilance function of Vigilance department on proactive basis. The Vigilance Department disposed of 908 cases of employees for Vigilance clearance. Details of that are given below

Sr. No.	Purpose of Vigilance clearance	No. of cases clearance given	No. of cases clearance rejected	Total no. of cases
1	Passport	38	0	38
2	VISA	0	0	0
3	Confirmation	16	4	20
4	Promotion	24	15	39
5	Retirement	11	1	12
6	Transfer	0	0	0
7	Releasing of final dues	11	1	12
8	Extension / Re-employment	2	0	2
9	Resignation	3	0	3
10	Experience Letter	0	0	0
11	Interview	0	0	0
12	Other	25	3	28
TOTAL		130	24	154

Table 5.1: No. of vigilance clearance decided in 2020-2021

5.2 Right to Information Act 2005 related cases disposed of in year 2020-2021

SECTION	No of RTI application received ADO (DE)	No of cases in which information given	No of cases in which information rejected / unsatisfied with information given	No cases in which first appeal attended	No of cases in which second appeal attended
Preliminary Enquiry Section	33	22	11	10	0
Departmental Enquiry Section	11	11	3	1	0
TOTAL	44	33	14	11	0

Table 5.2: Right to Information Act 2005 related cases handled in 2020-21

6. DEPARTMENTAL ENQUIRY (from DE Officer)

Departmental Enquiry Cases 2020-21 (between 01.04.2020 & 31.03.2021)	RECEIVED	DISPOSED
DE cases received in 2020-21	8	11
DE cases brought forward from 2014-20 as on 01.04.2021	84	53
Total	92	64

Table 6.0: Departmental Enquiry (from DE Officer) Year 2020-2021

7. GRIEVANCE REDRESSAL SYSTEM

SOURCES OF COMPLAINTS received in 2020-21 (between 01.04.2020 & 31.03.2021)	RECEIVED	DISPOSED	In Process (on 31.03.2020)
Grievances received in 2020-21 (General+ Engineering)	2636	2620	16

Table 7.0: Grievance Redressal System - Year 2020-2021

8. EMERGENCY OPERATION CENTER

SOURCES OF COMPLAINTS received in 2020-21 (between 01.04.2020 & 31.03.2021)	RECEIVED	DISPOSED	PENDING
Grievances received in 2020-21	299	299	0
Grievances brought forward from 2014-21 as on 01.04.2021	0	0	0
Total	299	299	0

Table 8.0: Emergency Operation System - Year 2020-2021

9. VISITORS MANAGEMENT SYSTEM

Department-Wise Visitors Summary Report.

Sr. No.	Department	Total No of Visitors
1.	Fire Safety	183
2.	MTS-II	6027
3.	HOUSE KEEPING	13
4.	MARKETING	8
5.	CS OFFICE	5
6.	MTS-I	4461
7.	LANDS 22.5%	1280
8.	SOCIAL SERVICE	1
9.	ACCOUNTS	1953
10.	RTI- GM(ADM)	21
11.	MTS-III	804
12.	CHAIRMAN OFFICE	14
13.	JMD-I OFFICE	78
14.	TELECOM	14
15.	LIBRARY	22
16.	ENGINEERING	2301
17.	METRO	25
18.	STATISTICS	2
19.	REHABILITATION	2
20.	LAW	41
21.	MD OFFICE	281
22.	JMD-II OFFICE	86
23.	CFC	3
24.	T-C	132
25.	CVO	219
26.	SURVEY	77
27.	LANDS 12.5%	10811
28.	CUC	2
29.	WATER SUPPLY	12
30.	PUBLIC RELATION	8
31.	ENVIRONMENT	8

32.	ELECTRICAL	50
33.	OTHER	1049
34.	ARCHITECTURE	34
35.	PLANNING	2710
36.	DATA CENTER	20
37.	JMD-III OFFICE	16
38.	ECONOMICS	5
39.	PERSONNEL	88
	TOTAL →	32914

Table 9.0: Visitors Management System: Year 2020-2021

10. PREVENTIVE VIGILANCE ACTIONS

10.1 Surprise visits & checks to various departments of CIDCO

As a part of preventive vigilance, vigilance team has taken more than 12 surprise visits for checking standard operational processes of various departments of CIDCO. This helped to keep proper surveillance on pending work in the respective departments and its timely disposal

10.2 Vigilance Circulars, Advisories, Office Orders were issued by Vigilance Department.

1.	Circular: Regarding Acceptance of gifts by Government Servant	06.11.2020
2.	□□□□□□□□ □□□□□□ : □□□□□□□□ □□□□ □□□□ □□□□□□ □□.□□.□□□□ (Mission begin again) □□□□□□ □□□□□□□□□□ □□□□ □□□,□□□□□ □□□ □ □□□ □□□□□ □□□□□□□□ □□□□□□ □□□□□□□□	22.09.2020
3.	□□□□□□□□ : □□□□□□ □□□□□□□□ □□□□□□□□ □□□□ □□□□□□□□□□	16.03.2021

Table 10.2: Vigilance Circulars, Advisories, Office Orders issued by Vigilance Department in 2020-21

10.3 Integrity Pact

Integrity Pact creates an image of CIDCO as being transparent and one that offers equal opportunity, giving it an edge over its competitors.

- The process of Integrity Pact was implemented for all contracts above Rs.5.0 crores to maintain high level of honesty, transparency and integrity during tendering process.
- It is assisted by the process of third party investigation of any bidder complaint regarding integrity in the tendering process through two reputable independent external monitors. CIDCO has appointed Mr. D. T. Joseph, IAS (Retd.) and Mr. Jayant Kumar Banthia, IAS (Retd.) as 'Independent External Monitors' (IEM) for the Integrity Pact.

All contracts of CIDCO worth above Rs.5.0 Crs. Come under Integrity Pact. From 2016-17 & 2019-20, for period 2020-21 due to covid-19 pandemic TII has not done IP analysis. following is the statistics for Integrity Pact contracts.

Sr. No	Year	No. of tenders invited during the period	Total contract value (Rs. in Crs.)	Average value (Rs. in Crs.)
1	2016-17	49	4456.40	90.946
2	2017-18	58	1612.55	27.80
3	2018-19	70	15308.08	218.68
4	2019-20	69	22310.702	323.343
5	2020-21	-	-	-

Table 10.3: Statistics for Integrity Pact Contracts

11.1 Vigilance Awareness Week 2020 – Activities

Central Vigilance Commission, Government of India, declared to observe Vigilance Awareness Week - 2020 from 27th October 2020 to 2nd November 2020 with the theme “Vigilant India , Prosperous India “. Based on the theme and guidelines of the CVC Vigilance awareness week organized.

Time line	Event	Location
27.10.2020 to 02.11.2020	1. Large & wide publicity for Vigilance Awareness Week – 2020 to create awareness amongst the people/ society by displaying banners, posters within CIDCO office premises and at a prominent locations during this week.	Various locations in CIDCO, NMMC, PMC area in Navi Mumbai, stations , nodal offices & CIDCO area at New Towns
27.10.2020	2. Integrity pledge (verbally) taking ceremony as per guidelines of Central Vigilance Commission.	CIDCO Bhavan, & headquarters of respective New Towns Offices
	3. Integrity pledge (online) taking ceremony as per guidelines of Central Vigilance Commission.	Online

11.2 GLIMPSE OF VIGILANCE AWARENESS WEEK- 2020

1. INTEGRITY OATH CEREMONY

Photo 1.1



Photo 1.2



Photo 1.3

5.2 Right to Information Act 2005 related cases disposed of in year 2021-2022

SECTION	No of RTI application received ADO (DE)	No of cases in which information given	No of cases in which information rejected / unsatisfied with information given	No cases in which first appeal attended	No of cases in which second appeal attended
Preliminary Enquiry Section	19	17	02	0	0
Departmental Enquiry Section	19	12	07	4	0
TOTAL	38	29	09	04	0

Table 5.2: Right to Information Act 2005 related cases handled in 2021-22

5.1 Vigilance clearance decided in 2021-2022

To process for vigilance clearance of officers/employees for various purposes is a part of Preventive Vigilance function of Vigilance department on proactive basis. The Vigilance Department disposed of 908 cases of employees for Vigilance clearance. Details of that are given below

Sr. No.	Purpose of Vigilance clearance	No. of cases clearance given	No. of cases clearance rejected	Total no. of cases
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2	VISA	0	0	0
3	Confirmation	16	4	20
4	Promotion	24	15	39
5	Retirement	11	1	12
6	Transfer	0	0	0
7	Releasing of final dues	11	1	12
8	Extension / Re-employment	2	0	2
9	Resignation	3	0	3
10	Experience Letter	0	0	0
11	Interview	0	0	0
12	Other	25	3	28
TOTAL		130	24	154

Table 5.1: No. of vigilance clearance decided in 2021-2022

Vigilance Cases in 2021-2022

Status	No. of complaints
Vigilance cases received	120
Vigilance enquiry closed & Case closed	69
Vigilance enquiry on-going (Pending)	51

Non-Vigilance Cases in 2021-2022

Status	From 2014 -2021	2021-2022 1/04/2021 to Till date	Total No. of complaints
Non-Vigilance cases received	82	123	205
Non-Vigilance enquiry closed & Case closed			87
Non-Vigilance enquiry on-going (Pending)			118

Non-Vigilance Cases in 2021-2022

Status	No. of complaints
Non-Vigilance cases received	120
Non-Vigilance enquiry closed & Case closed	69
Non-Vigilance enquiry on-going (Pending)	51